



Docket No. 1232-4723

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Noriyoshi CHIZAWA Group Art Unit: 2622
Serial No.: 09/881,341 Examiner: TBD
Filed: June 14, 2001 Confirmation No. 7022
For: IMAGE PROCESSING APPARATUS

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INFORMATION DISCLOSURE STATEMENT

JUL 02 2004

Technology Center 2600

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is filed in accordance with 37 C.F.R.

§§1.56, 1.97 and 1.98. The items listed on Form PTO-1449, a copy of which is enclosed, are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items and to independently ascertain their teaching.

1. ☒ For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, an English language translation of that item or a portion thereof or a concise explanation of the relevance of that item is enclosed:
See attached "Concise Explanation of Relevance".

2. ☐ For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application.

3. ☐ Any copy of the items listed on the enclosed copy of Form PTO-1449 that is not enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office in application Serial No. _____, filed _____.

4. ☐ No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:
- ☐ 37 C.F.R. §1.97(b)(1), within three months of the filing date of a national application other than a CPA; or
 - ☐ 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491 in an international application; or
 - ☒ 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office action on the merits; or
 - ☐ 37 C.F.R. §1.97(b)(4) before the mailing date of a first office action after the filing of an RCE under §1.114.
5. ☐ No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below.
6. ☐ A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a notice of allowance (where there has been no prior final action):
- ☐ A check in the amount of \$180.00 is enclosed in payment of the fee.
 - ☐ Charge the fee to Deposit Account No. 13-4500, Order No. _____. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
7. ☐ A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(d), after the mailing date of a final action or a notice of allowance, whichever comes first, but before payment of the issue fee, and is accompanied by:
- a. one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below; and
 - b. the fee due under 37 C.F.R. §1.17(p) which is paid as set forth in paragraph 11 below.
8. ☐ This Information Disclosure Statement is being filed in compliance with:
- a. ☐ 37 C.F.R. §1.313(b)(3) or §1.313(c)(1), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition To Withdraw Application From Issue and fee pursuant to 37 C.F.R. §1.17(h);

- b. ☐ 37 C.F.R. §1.313(c)(2) or §1.313(c)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Request for Continued Examination (RCE) or a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition To Withdraw Application From Issue and fee pursuant to 37 C.F.R. §1.17(h).
- c. ☐ The fee due under 37 C.F.R. §§1.17(h) is paid as set forth in paragraph 11 below.
9. ☒ I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ I hereby certify that no item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
10. ☒ This document is accompanied by ☐ a Search Report ☒ Communication which was cited in a corresponding ☐ PCT or ☒ Foreign counterpart application
11. ☐ A check in the amount of \$ _____ is enclosed in payment of the fees due under 37 C.F.R. §§1.17(h) and 1.17(p).
- ☐ Charge the fees due under 37 C.F.R. §§1.17(h) and 1.17(p) to Deposit Account No. 13-4500, Order No. _____. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 13-4500, Order No. 1232-4723. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: June 25, 2004

By: _____

Stephen J. Manetta
Stephen J. Manetta
Registration No. 40,426

Correspondence Address:

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, NY 10154-0053
(212) 758-4800 Telephone
(212) 751-6849 Facsimile



- b. ☐ 37 C.F.R. §1.313(c)(2) or §1.313(c)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Request for Continued Examination (RCE) or a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition To Withdraw Application From Issue and fee pursuant to 37 C.F.R. §1.17(h).
- c. ☐ The fee due under 37 C.F.R. §§1.17(h) is paid as set forth in paragraph 11 below.
9. ☒ I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ I hereby certify that no item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
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Dated: June 25, 2004

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345 Park Avenue
New York, NY 10154-0053
(212) 758-4800 Telephone
(212) 751-6849 Facsimile

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

By: Stephen J. Manetta
Stephen J. Manetta
Registration No. 40,426



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Applicant(s): Noriyoshi CHIZAWA Group Art Unit: 2622

Serial No.: 09/881,341 Examiner: TBD

Filed: June 14, 2001 Confirmation No.: 7022

For: IMAGE PROCESSING APPARATUS

CONCISE EXPLANATION OF RELEVANCE

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Technology Center 2600

Sir:

This Concise Explanation of Relevance is submitted as referenced in the accompanying Information Disclosure Statement filed concurrently herewith.

For Foreign Patent Documents items A-H listed on the accompanying Form PTO-1449, either an English Abstract or corresponding U.S. patent has been submitted, as shown in the following table.

PTO-1449 Item No.	Japanese Reference No.	Concise Explanation of Relevance Provided	PTO-1449 Item No.
A	11-327814	Corresponding USP 6,538,758	1
B	10-56526	Corresponding USP 6,091,515	2
C	9-251228	English Abstract	BB
D	9-034318	English Abstract	CC
E	7-281852	English Abstract	DD
F	6-332581	Corresponding USP 5,897,252	3
G	4-068862	English Abstract	EE
H	61-258628	English Translation (partial)	FF


Should additional information be required, the Examiner is invited to contact the undersigned.

Dated: June 25, 2004

Correspondence Address:
MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, NY 10154-0053
(212) 758-4800 Telephone/(212) 751-6849 Facsimile

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

By:


Stephen J. Manetta
Registration No. 40,426

FORM PTO-1449

INFORMATION DISCLOSURE CITATION

Attorney Docket:
1232-4723

Serial No.:
09/881,341

Applicant:
Norivoshi CHIZAWA

Filing Date:
June 14, 2001

Group Art Unit:
2622

U.S. PATENT DOCUMENTS

Examiner Initial	Item	Patent Number	Publication Date	Name	Class	Sub-Class	Filing Date
	1	6,538,758	03/25/2003	Ikegawa			05/11/1999
	2	6,091,515	07/18/515	Kimura			08/06/1997
	3	5,897,252	04/27/1999	Kanakubo			07/22/1997

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FOREIGN PATENT DOCUMENTS

Examiner Initial		Patent Number	Publication Date	Country	Class	Sub-Class	Translation
	A	11-327814	11/30/1999	Japan			<input type="checkbox"/> Yes <input type="checkbox"/> No
	B	10-56526	02/24/1998	Japan			<input type="checkbox"/> Yes <input type="checkbox"/> No
	C	9-251228	09/22/1997	Japan			<input type="checkbox"/> Yes <input type="checkbox"/> No
	D	9-034318	02/07/1997	Japan			<input type="checkbox"/> Yes <input type="checkbox"/> No
	E	7-281852	10/27/1995	Japan			<input type="checkbox"/> Yes <input type="checkbox"/> No
	F	6-332581	12/02/1994	Japan			<input type="checkbox"/> Yes <input type="checkbox"/> No
.	G	4-068862	03/04/1992	Japan			<input type="checkbox"/> Yes <input type="checkbox"/> No
.	H	61-258628	11/17/1986	Japan			<input type="checkbox"/> Yes <input type="checkbox"/> No
.							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Papers,

Initial	Item	OTHER DOCUMENTS
	AA	JAPANESE PATENT OFFICE; Office Action; of corresponding Japanese Patent Application No. 2000-182080 filed on June 16, 2000; (dated March 26, 2004); 2 pages.
	BB	English Abstract for Japanese Laid Open Patent Application No. 9-251228
	CC	English Abstract for Japanese Laid Open Patent Application No. 9-034318
	DD	English Abstract for Japanese Laid Open Patent Application No. 7-281852
	EE	English Abstract for Japanese Laid Open Patent Application No. 4-068862
	FF	English translation (partial) for Japanese Laid Open Patent Application No. 61-258628

Examiner	Date Considered
<p>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.</p>	



#5
DAA

2622

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Serial No.: 09/881,341 Examiner: TBD
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CERTIFICATE OF MAILING (37 C.F.R. §1.8(A))

Technology Center 2600

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I hereby certify that the attached:

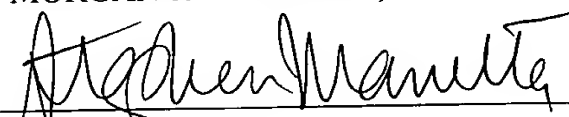
1. Information Disclosure Statement
2. Concise Explanation of Relevance
3. Form PTO-1449
4. Copies of seventeen (17) references cited therein
5. Return receipt postcard

along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on date shown below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: June 25 2004

By:


Stephen J. Manetta
Reg. No. 40,426

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